

LECTURE 23

WEDNESDAY NOVEMBER 27

# Asymptotic Upper Bound: Big-O

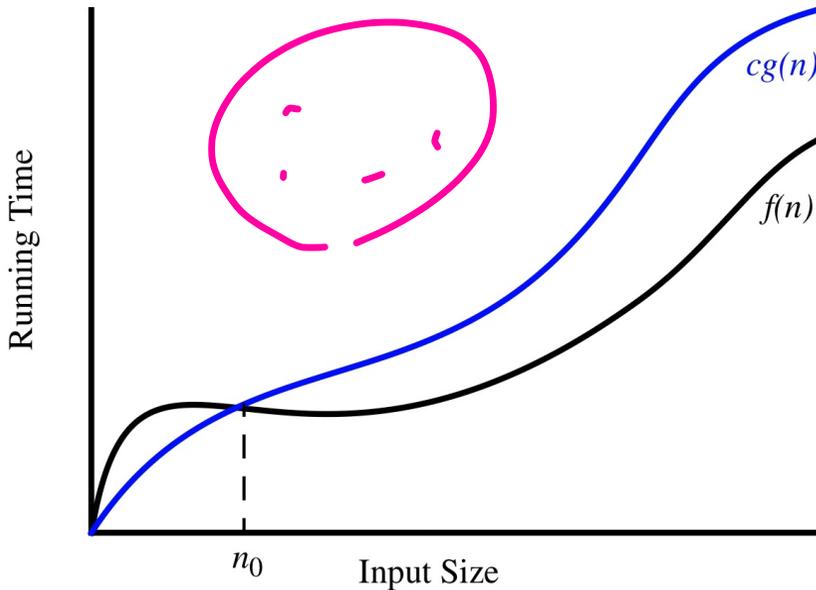
alg.  $f(n) \in O(g(n))$  if there are: a set of functions

- A real constant  $c > 0$
- An integer constant  $n_0 \geq 1$

such that:

$$f(n) \leq c \cdot g(n) \text{ for } n \geq n_0$$

upper bound effect



Example:

$$f(n) = 8n + 5$$

$$g(n) = n$$

Prove:

$$f(n) \text{ is } O(g(n))$$

Choose:

$$c = 9$$

What about  $n_0$ ?

$$\underline{f(n)} = \boxed{2n} + 3$$

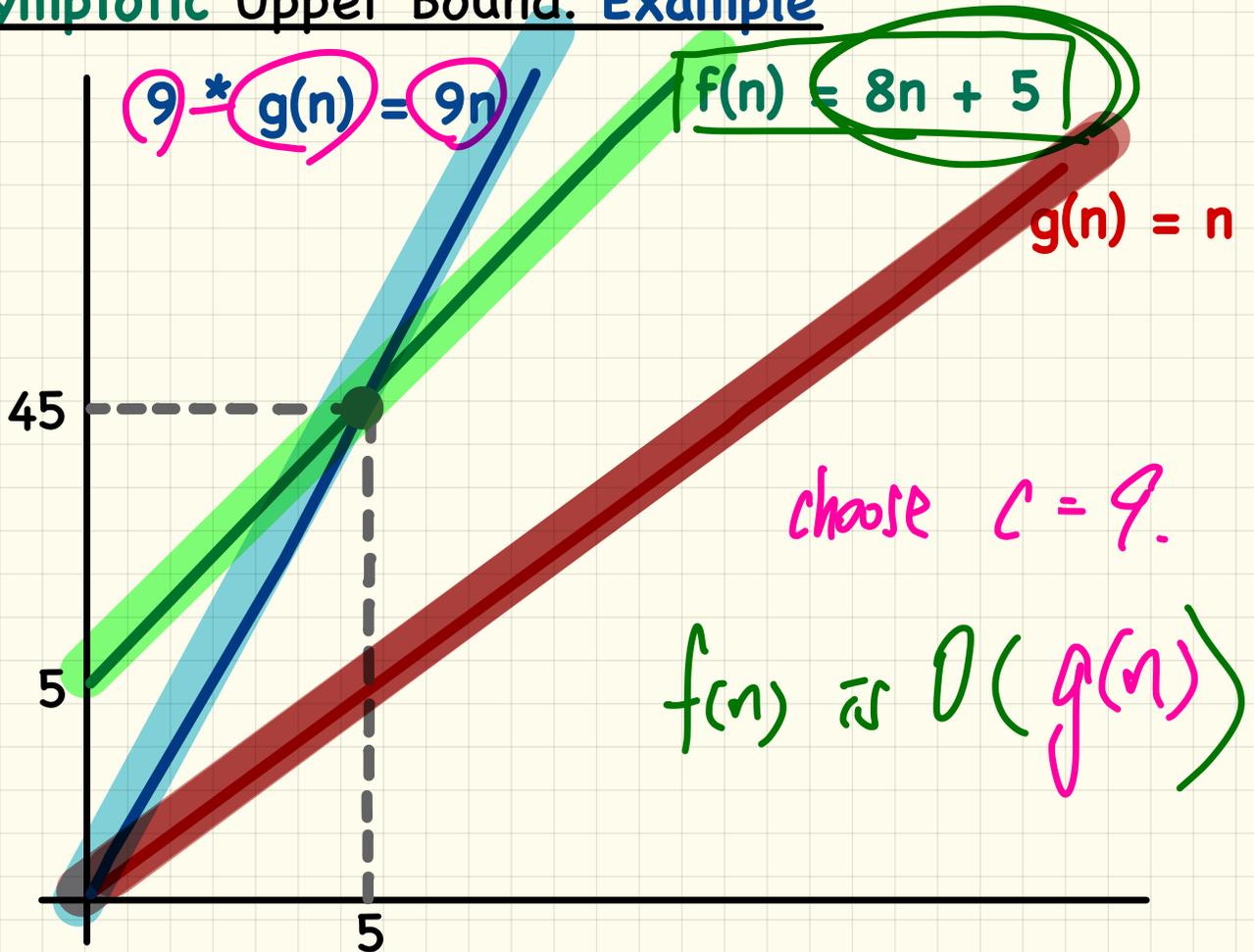
$$\underline{O(n^2)}$$

$$O(n^3)$$

$$O(n)$$

$$\underline{2n + 3}$$

# Asymptotic Upper Bound: Example



# Proving $f(n)$ is $O(g(n))$

$$(2n^2 - 3n - 7) \quad |2| + |-3| + |-7|$$

If  $f(n)$  is a polynomial of degree  $d$ , i.e.,

$$f(n) = a_0 \cdot n^0 + a_1 \cdot n^1 + \dots + a_d \cdot n^d$$

*highest power (-7)*  
*(12)*

and  $a_0, a_1, \dots, a_d$  are integers (i.e., negative, zero, or positive),

then  $f(n)$  is  $O(n^d)$ .

We prove by choosing

$$c = |a_0| + |a_1| + \dots + |a_d|$$
$$n_0 = 1$$

*c. n^d*  
*Steady*

Upper-bound effect starts when  $n_0 = 1$

$$a_0 \cdot 1^0 + a_1 \cdot 1^1 + \dots + a_d \cdot 1^d \quad f(1) \leq |a_0| + |a_1| + \dots + |a_d|$$
$$= |a_0| + |a_1| + \dots + |a_d|$$

*c. ←*

Upper-bound effect holds?

$$[f(n) \leq n^d]$$

$$2 + (-3) + (-7) \leq |2| + |-3| + |-7|$$

## $O(g(n))$ : A Set of Functions

Each member  $f(n)$  in  $O(g(n))$  is such that:

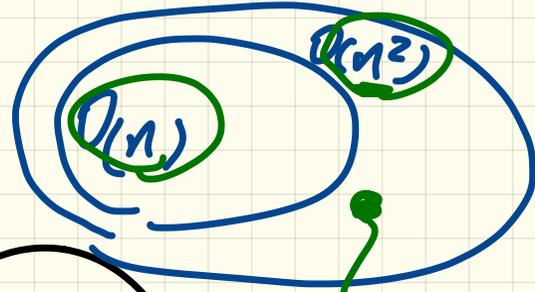
Highest Power of  $f(n)$   $\leq$  Highest Power of  $g(n)$

$O(n)$

$$f(n) = \cancel{7n} + 2$$

$$7n + 2$$

$O(n^2)$



$$7n + 2$$

$$\frac{2n^2 - 7}{n^2}$$

$$O(n^2)$$
$$c = |2| + |-7| = 9$$
$$n_0 = 1$$

## Asymptotic Upper Bounds: Example (1)

$5n^2 + 3n \cdot \log n + 2n + 5$  is  $O(n^2)$

$$\log z^1 = 0$$
$$z^0 = 1$$

Prove.

choose  $c = ?$   $5 + 3 + 2 + 5 = 15$

$n_0 = ?$   $(1)$  s.t.

$$15 \cdot n^2 \geq 5n^2 + 3n \cdot \log n + 2n + 5$$

$$15 \geq \frac{5 + 0 + 2 + 5}{12}$$

## Asymptotic Upper Bounds: Example (2)

$$20n^3 + 10n \cdot \log n + 5 \text{ is } O(n^3)$$



Prove.

choose  $C = \cancel{35}$

$n_0 = \cancel{1}$  s.t.

$$\begin{aligned} C \cdot \cancel{n^3} &\geq 20n^3 + 10n \cdot \log n + 5 \\ 35 \cdot 1 &\geq 20 \cdot 1^3 + 0 + 5 \end{aligned}$$

## Asymptotic Upper Bounds: Example (3)

$3 \cdot \log n + 2$  is  $O(\log n)$

$$5 \cdot \frac{\log 2}{1} \geq 3 \cdot \frac{\log 2}{1} + 2$$
$$5 \geq 3 + 2$$

Prove:

choose  $c = 5$

$n_0 = 2$  s.t.

$$c \cdot \log n \geq 3 \cdot \log n + 2$$

$$5 \cdot \frac{\log 1}{0} \geq 3 \cdot \frac{\log 1}{0} + 2$$
$$0 \geq 2$$

# Asymptotic Upper Bounds: Example (4)

$$2^{n+2} \text{ is } O(2^n)$$

$$2^{n+2} = 2^2 \cdot 2^n$$

Prove

choose

$$C = 2^2 = 4$$

$$\forall n \geq 1 \text{ s.t.}$$

$$C \cdot 2^n \geq 2^{n+2}$$

## Asymptotic Upper Bounds: Example (5)

$2n + 100 \cdot \log n$  is  $O(n)$

# Determining the Asymptotic Upper Bound (1)

```
1  maxOf (int x, int y) {  
2    int max = x; ← O(1)  
3    if (y > x) { ← O(1)  
4      max = y; ← O(1)  
5    }  
6    return max; ← O(1)  
7  }
```

$O(1)$ .

RT does not depend on how large  $X$  and  $y$  are.

## Determining the Asymptotic Upper Bound (2)

```
1 findMax (int[] a, int n) {  
2   currentMax = a[0]; ←  $O(1)$   
3   for (int i = 1; i < n; ) {  $O(n)$   
4     if (a[i] > currentMax) →  $O(1)$   
5     currentMax = a[i]; } →  $O(1)$   
6     i ++ } →  $O(1)$   
7   return currentMax; }
```

→ 1 →  $O(1)$   
→ 2 →  $O(1)$   
⋮  
→ n-1 →  $O(1)$

$O(1 + 1 + \dots + 1)$   
n  
↳  $O(n)$ .

Will a double-nested loop give

$O(1)$

RT =  $O(\sqrt{N})$ ?

No.

$O(N)$

for (int i = 0; i < ~~N~~<sup>10</sup>; i++) {

$O(1)$  for (int j = 5; j < 10; j++) {  
print (.-.)

}

# Determining the Asymptotic Upper Bound (3)

```

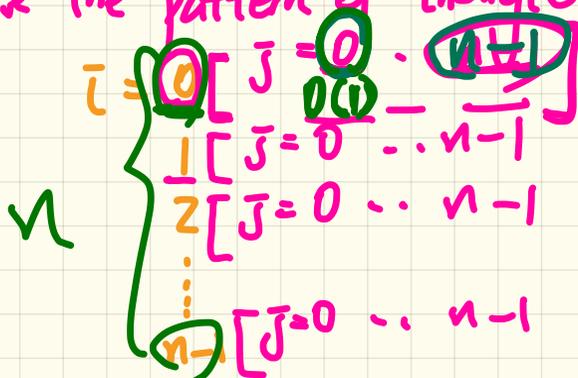
1  containsDuplicate (int[] a, int n) {
2  → for (int i = 0; i < n; ) {
3      for (int j = 0; j < n; ) {
4          [ if (i != j && a[i] == a[j]) { ← O(1)
5              [ return true; ← O(1)
6              j++; }
7          i++; }
8  return false; }
    
```

$j = 0$   
⋮  
 $n-1$

$[i, j]$

$(j - i + 1)$

observe the pattern of changes on loop counter



$O(n)$  # of iterations for  $j$

$O(n) \cdot O(n) = O(n^2)$

# of iterations for  $i$  RT for L4 L5

## Determining the Asymptotic Upper Bound (4)

```
1  sumMaxAndCrossProducts (int[] a, int n) {
2    int max = a[0]; ←  $O(1)$ 
3    [ for(int i = 1;  $i < n$ ; i++) {  $O(1)$  } ]  $O(n)$ 
4      [ if (a[i] > max) { max = a[i]; } ]
5    }
6    int sum = max; ←  $O(1)$ 
7    [ for (int  $j = 0$ ;  $j < n$ ; j++) {
8      for (int  $k = 0$ ;  $k < n$ ; k++) {
9        [ sum += a[j] * a[k]; ] } } ]  $O(n^2)$ 
10   return sum; }
```

$$O(1 + n + 1 + n^2)$$

$$= O(n^2)$$

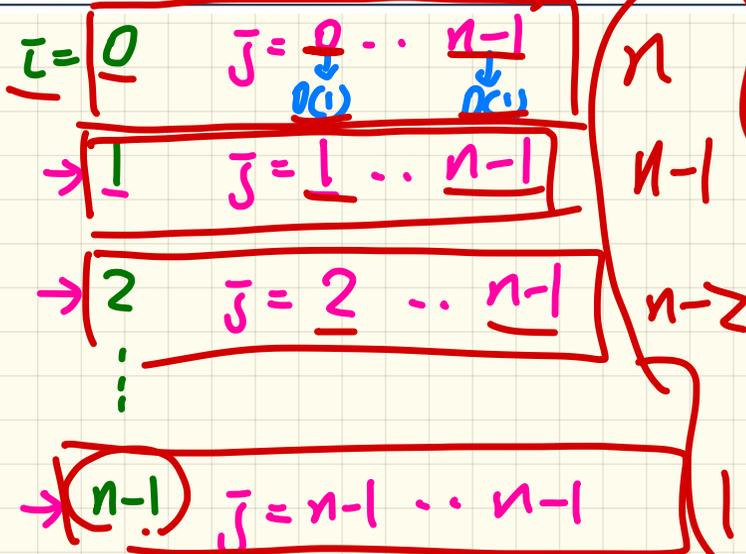
# Determining the Asymptotic Upper Bound (5)

```

1 triangularSum (int[] a, int n) {
2   int sum = 0; ← O(1)
3   for (int i = 0; i < n; i++) {
4     for (int j = i; j < n; j++) {
5       sum += a[j]; → O(1)
6     }
   }
   return sum; ← O(1)
}

```

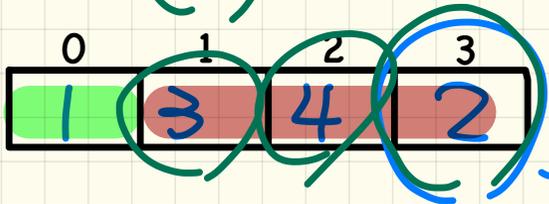
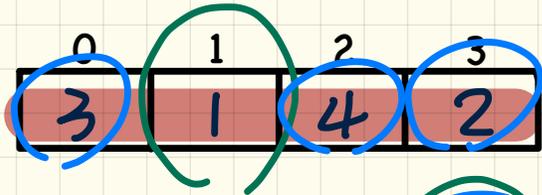
$O(n^2)$



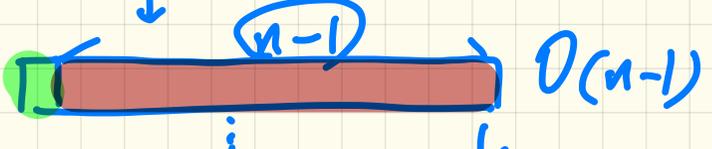
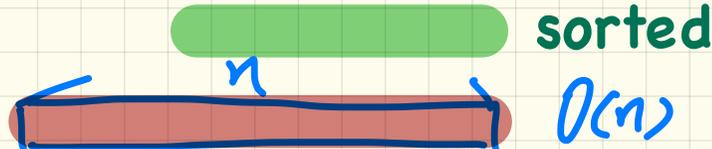
$$\begin{aligned}
 & n + (n-1) + \dots + 1 \\
 &= \frac{(n+1) * n}{2} \\
 &= O(n^2)
 \end{aligned}$$

# Selection Sort

Keep **selecting** minimum from the **unsorted** portion and appending it to the end of **sorted** portion.



$$O(n + (n-1) + \dots + 1) = O(n^2)$$



# Insertion Sort

$$O(1 + 2 + \dots + (n-2)) = O(n^2)$$

Keep getting 1st element from the **unsorted** portion and **inserting** it to the **sorted** portion.

